

Proofpoint Intelligent Compliance

A better, smarter way to keep the digital workplace secure and compliant

Products

- Proofpoint Capture
- Proofpoint Patrol
- Proofpoint Track
- Proofpoint Archive
- Proofpoint Discover
- Proofpoint Supervision
- Proofpoint Automate

Key Benefits

- Intelligently detect misconduct in seconds across an array of popular e-communication platforms
- Significantly reduce review time in investigations
- Manage user data in a unified, compliant way
- Stay ahead of all compliance mandates and corporate policies
- Protect your people end to end

The new virtual-first economy has brought about a sharp rise in the adoption of instant messaging and cloud collaboration platforms. Today's workers need these kinds of tools to interact with one another and to get things done. Now more than ever they are building relationships and interacting on established collaboration platforms such as Microsoft Teams, Slack and Zoom as well as on hundreds of newer ones that continue to crop up.

In 2020 alone, Microsoft Teams reached 115 million daily users,¹ Zoom reached 300 million meeting participants and Slack had 12 million daily active users.²

Companies are now exposed to the highest levels of unmanageable risks and threats. For IT, compliance, legal and security teams, that means they must focus on protecting their most important asset—their people. Proofpoint can help. We provide an artificial intelligence (AI)-based platform that helps unify, manage, store, investigate and supervise a vast array of digital communications for corporate and regulatory compliance protection.

People Matter Most in the Shift to Virtual-First

Digital transformation is no longer a paradigm shift. It's already here. And it's all about your people. Your employees use at least six ways to communicate in a single day. The shift to working from home has only hastened the adoption of ever more digital platforms to connect and interact. Internal communication and project collaboration happen online. Clients and prospects expect to engage with your teams on social media. You must be able to capture, manage, supervise and retain this content. It's especially important if your company is regulated or litigious or if it simply has corporate policies that require more visibility. For regulatory and legal

¹ Jared Spataro (*Microsoft*). "Microsoft Teams reaches 115 million DAU—plus, a new daily collaboration minutes metric for Microsoft 365." October 2020.

² Biran Elliot (*Businesswire*). "Not All Daily Active Users Are Created Equal: Work Is Fueled by True Engagement." October 2019.

reasons, you also must be able to search retained content during litigation and audits. And if your organization works with limited resources and legacy technologies, your people might not be as effective at their jobs as they should be.

Proofpoint offers a raft of solutions that can help you establish and maintain an efficient people-centric compliance strategy. This strategy involves the following steps:

1. Capture communications
2. Strategically manage the communications
3. Retain modern communications in full fidelity—and get proof of record
4. Analyze, supervise and surveil communications intelligently

Communications Capture—Email and Beyond

To understand what's going on in your organization, you must be able to capture and manage real-time, non-persistent communications content. You may also need to deliver it to downstream destinations, such as an archive or supervision system.

You might have a solution for email. But how do you handle other content sources? Many firms leverage IT resources to build connections between new content sources, such as Microsoft Teams or Slack, and their downstream services. But these one-off connections are less than ideal. They often fail to capture key information and require constant in-house resources to maintain. What's more, they often format information differently from source to source. This makes it hard for your team to follow the context of all forms of conversation happening at the same time.

Proofpoint Capture

Proofpoint Capture securely collects content from a wide variety of new and popular communication sources. It can also help deliver the content to your downstream services. And it captures the content with full fidelity and conversational context. This makes it easy for your teams to find, manage and review the information they need. It unifies all content in a single platform. It monitors content sources for updates. And it ensures your connections are always up to date.

Communications Management and Control

In some industries, like financial services and other, highly litigious ones, you must prohibit or remediate communications that violate compliance regulations and laws. Doing so can protect your people and organization from fines and legal challenges. If your firm is growing or simply distributed, intelligence-driven technologies can help you protect your people.

With humans and random sampling alone, you're likely to miss the issues that need the most attention. And you will spend valuable resources reviewing those that are lower risk.

A better approach is to strategically manage communications and data. This lets you focus your resources on pinpointing real risks. It can also help you act fast, especially on social media and other public channels. Remediating compliance violations quickly is critical. You must deal with them before they go viral and garner unwanted attention.

Proofpoint Patrol

Proofpoint Patrol helps you meet rules for social media monitoring, control and remediation. It gives you a complete view of your employees' and brand's social presence—in real time. It uses machine learning and natural language processing to classify content on monitored accounts accurately. When it detects compliance violations from your employee or corporate accounts, it notifies you before the violation becomes a problem. You can even set it to remove problematic content automatically.

Retain Communications in Full Fidelity

You need to retain modern communications in a secure, accessible and searchable archive. You must also be able to get proof of record. Legacy on-premises solutions struggle to keep up with cloud-based communications. And their search speeds are often very slow. This can make it a challenge to respond to audit or e-discovery requests in a timely manner. What's more, you need to ensure your records are complete and be able to present proof in case of an audit.

Proofpoint Track

Proofpoint Track ensures that your content is properly transported and retained in an immutable data store or archive for compliant record-keeping and investigation. It has a built-in feedback loop to confirm that the archive successfully processed each captured message from your content sources. If the process was not successful, it resends the data. You can also leverage Proofpoint Track to filter and route content to multiple destinations.

Proofpoint Archive

Proofpoint Archive is an intelligent, cloud-native archiving solution that helps you meet your long-term business and regulatory information-retention needs. It supports email as well as many digital communication platforms, such as instant messaging, collaboration and social media. Proofpoint Archive provides built-in high-performance search, litigation hold and export. These features address all of your basic e-discovery needs.

Analyze, Supervise and Surveille Intelligently

Many regulatory mandates require organizations to supervise and surveille employees within specific industries and functions. From a supervisory perspective, FINRA 3110, SEC 206(7), IIROC NI 31-103 and CFTC are just a few of the relevant rules.

Proofpoint Discover

Proofpoint Discover complements Proofpoint Archive. It offers advanced features to help streamline your e-discovery workflow and reduce related costs. With case management features, your teams can collaborate and track case progress seamlessly. It easily orchestrates your response to e-discovery requests and internal investigations. Intuitive and advanced visualization tools let you easily uncover insights from search results at any time.

Proofpoint Supervision

Proofpoint Supervision also complements Proofpoint Archive. It helps you monitor and review digital communications and email to ensure corporate and regulatory compliance. With the Compliance Risk Dashboard, you can take advantage of an intelligent approach to identify major compliance risks and violation trends over time. And you get selective drill downs for deeper insights. You can use advanced filtering, sampling and detection to reduce any noise in your results.

Proofpoint Automate

Proofpoint Automate is built on our machine learning infrastructure. It provides an out-of-the-box detection model to find risks of market abuse, employee misconduct and false information sharing. These models have been trained by countless reviewer decisions to eliminate low-value or non-relevant content. It helps customers streamline supervision processes and save money.

“Proofpoint Capture” was formerly “Proofpoint Content Capture,” “Proofpoint Patrol” was formerly “Proofpoint Content Patrol,” “Proofpoint Track” was formerly “Proofpoint Compliance Gateway,” “Proofpoint Archive” was formerly “Proofpoint Enterprise Archive,” “Proofpoint Supervision” was formerly “Proofpoint Intelligent Supervision,” “Proofpoint Automate” was formerly “Proofpoint NexusAI for Compliance,” and “Proofpoint Discover” was formerly “Proofpoint E-Discovery Analytics.”

LEARN MORE

For more information, visit [proofpoint.com](https://www.proofpoint.com).

ABOUT PROOFPOINT

Proofpoint, Inc. is a leading cybersecurity and compliance company that protects organizations' greatest assets and biggest risks: their people. With an integrated suite of cloud-based solutions, Proofpoint helps companies around the world stop targeted threats, safeguard their data, and make their users more resilient against cyber attacks. Leading organizations of all sizes, including 75 percent of the Fortune 100, rely on Proofpoint for people-centric security and compliance solutions that mitigate their most critical risks across email, the cloud, social media, and the web. More information is available at www.proofpoint.com.

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